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A PROFESSIONAL LIMITED
LIABILITY COMPANY

FACSIMILE

August 11, 2003

Number of Pages: **13**

Please call the following number if the message you
receive is incomplete or not legible: (703) 394-2237

Atty Docket No.

B208 1010.1**To:****Company:****Fax:****Phone:**

Gwenn

U.S. Patent Office

703-305-7658

703-305-0220

Re:

Application of: McCABE, et al.	Group Art Unit: 3624
Application No.: 09/559,320	Examiner: Daniel Felten
Filing Date: April 27, 2000	Old Atty Dkt. No. 10449-003 New Atty Dkt. No. B208 1010.1
Title: DERIVATIVE SECURITIES TRADING PRODUCT UTILIZING SU	Customer ID No. 26158.

Hi Gwenn:

I really appreciate your help on this. Attached is the fax confirmation and the amendment which we sent on August 11, 2003.

If there are any questions, my direct line is 703-394-2237.

Kathleen J. Farrar

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August 11, 2003

Number of Pages: 12

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B208 1010.1

To:

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TC3600 (Before Final)

U.S. Patent Office

703-872-9326

703-872-9325

Re:

Application of: McCABE, et al.	Group Art Unit: 3624
Application No.: 09/559,320	Examiner: Daniel Felton
Filing Date: April 27, 2000	Old Atty Dkt. No. 10449-003 New Atty Dkt. No. B208 1010.1
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See attached Amendment.

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

12

Application Number

09/559,320

Filing Date

April 27, 2000

First Named Inventor

McCABE, et al.

Group Art Unit

3624

Examiner Name

Daniel Felten

Attorney Docket No.

B208 1010.1

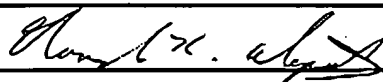
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| <input type="checkbox"/> Affidavits/declarations | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
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Incomplete Application | <input type="checkbox"/> CD, Number of CD(s) _____ | |
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under 37 CFR 1.52 or 1.53 | Remarks <input type="checkbox"/> | |

SIGNATURE OF APPLICANT, ATTORNEY OR AGENTFirm
Or
Individual Name

Nanda K. Alapati
Womble Carlyle Sandridge & Rice, LLC
P.O. Box 7037
Atlanta, Georgia 30357-0037
703-394-2216

Signature

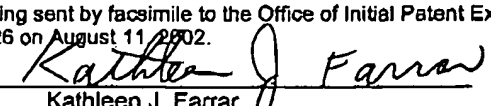


Date

August 11, 2002

CERTIFICATE OF FACSIMILE TRANSMITTAL

I hereby certify that this correspondence is being sent by facsimile to the Office of Initial Patent Examination, United States Patent and Trademark Office, at fax number 703-872-9326 on August 11, 2002.


 Kathleen J. Farrar

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Application no. 09/559,320
Supplemental Amendment dated August 11, 2003

IN THE U.S. PATENT AND TRADEMARK OFFICE

Application of: McCABE et al.	Group Art Unit: 3624
Application No.: 09/559,320 Confirmation No.: 1932	Examiner: Daniel Felten
Filing Date: April 27, 2000	Old Atty Dkt. No. 10449-0003 New Atty Dkt. No. B208.1010.1 (50096.0003.7)
Title: Derivative Securities Trading Product Utilizing Subsets of Indices or Portfolios	PTO Customer No. 26158

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT

Dear Sir or Madam:

In Supplement to the Amendment and Response Filed on June 30, 2003, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listings of claims which being on page 2 of this paper

Remarks/Arguments begin on page 9 of this paper